

09-28-01

A

09/27/01

1055 U.S. PTO

Please type a plus sign (+) inside this box → ☐PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                    |
|------------------------|--------------------|
| Attorney Docket No.    | 21382-10           |
| First Inventor         | Peter G. Thompson  |
| Title                  | Dual Mode Spreader |
| Express Mail Label No. | EL321483491US      |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
  2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
  3. ☒ Specification [Total Pages (preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets   - 5. Oath or Declaration [Total Pages   - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

|                                       |                                     |   |                                  |
|---------------------------------------|-------------------------------------|---|----------------------------------|
| <input type="checkbox"/> Continuation | <input type="checkbox"/> Divisional | <input type="checkbox"/> Continuation-in-part (CIP) | of prior application No. : ..... |
| Prior application information.        |                                     | Examiner .....                                      | Group Art Unit. ....             |

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label   
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

|          |           |
|----------|-----------|
| Name     |           |
| Address  |           |
| City     | State     |
| Country  | Telephone |
| Zip Code | Fax       |

|                   |                       |                                   |                    |
|-------------------|-----------------------|-----------------------------------|--------------------|
| Name (Print/Type) | Robert H. Earp, III   | Registration No. (Attorney/Agent) | 41,004             |
| Signature         | <i>Robert H. Earp</i> | Date                              | September 27, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC821 U.S. PTO  
09/965260  
09/27/01

EL321483491US  
Express Mail Label Number

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of : Peter G. Thompson  
App. No. : NOT YET ASSIGNED  
Filing Date : HERewith  
Docket No. : 21382-10  
Title : DUAL MODE SPREADER

---

**CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. 1.10\***

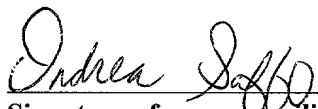
I hereby certify that these papers are being deposited with the United States Postal Service on September 27, 2001, in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, and addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

EL321483491US  
Express Mail Label Number

Ondrea Saffo  
(type or print name of person mailing paper)

**Items enclosed herewith**

1. **Utility Patent Application Transmittal**
2. **Patent Application w/ 4 Drawing Sheets**
3. **Check for \$462.00**
4. **Return Receipt Postcard**

  
Signature of person mailing paper